



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
08/658,865	05/31/96	ADACHI	H 960454

ARMSTRONG WESTERMAN HATTORI
MCLELAND & NAUGHTON
SUITE 1000
1725 K STREET NW
WASHINGTON DC 20006

LM61/0504

EXAMINER

KINCAID, L

ART UNIT	PAPER NUMBER
2745	10

DATE MAILED: 05/04/98

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

See attached.

Office Action Summary	Application No. 08/658,865	Applicant(s) ADACHI
	Examiner Lester Kincaid	Group Art Unit 2611

Responsive to communication(s) filed on Mar 4, 1998

This action is **FINAL**.

Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

Claim(s) 1-17 is/are pending in the application.

Of the above, claim(s) _____ is/are withdrawn from consideration.

Claim(s) _____ is/are allowed.

Claim(s) 1-17 is/are rejected.

Claim(s) _____ is/are objected to.

Claims _____ are subject to restriction or election requirement.

Application Papers

See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

The drawing(s) filed on _____ is/are objected to by the Examiner.

The proposed drawing correction, filed on Mar 4, 1998 is approved disapproved.

The specification is objected to by the Examiner.

The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

All Some* None of the CERTIFIED copies of the priority documents have been

received.

received in Application No. (Series Code/Serial Number) _____.

received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____.

Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

Notice of References Cited, PTO-892

Information Disclosure Statement(s), PTO-1449, Paper No(s). _____

Interview Summary, PTO-413

Notice of Draftsperson's Patent Drawing Review, PTO-948

Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

DETAILED ACTION

Response to Amendment

1. The proposed changes, to the specification only, have not been entered since they are too numerous. The examiner suggests filing a substitute specification including the intended changes.

Specification

2. The abstract of the disclosure is objected to because on line 9, the examiner suggests changing "duration" to read --during--, to maintain consistency with the amendment to the claims. Correction is required. See MPEP § 608.01(b).
3. A substitute specification excluding claims is required pursuant to 37 CFR 1.125(a) because the proposed changes to the specification, in the paper filed 3/4/98 are too numerous to easily enter and consider.

A substitute specification filed under 37 CFR 1.125(a) must only contain subject matter from the original specification and any previously entered amendment under 37 CFR 1.121. If the substitute specification contains additional subject matter not of record, the substitute specification must be filed under 37 CFR 1.125(b) and must be accompanied by: 1) a statement that the substitute specification contains no new matter; and 2) a marked-up copy showing the

amendments to be made via the substitute specification relative to the specification at the time the substitute specification is filed.

Claim Rejections - 35 USC § 102

4. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

5. **Claims 5-7, 11-13 and 17** are rejected under 35 U.S.C. 102(b) as being anticipated by Tayloe et al. (U.S. Patent 5,373,506).

As to **claim 5**, Tayloe et al. disclose a radio communication system comprising:

an intermittent power-on type mobile for shifting to a power-on state synchronously with a received timing of a beacon signal, with a fixed period of time after receiving the beacon signal being a data receive-ready period, see col. 1, lines 41-52, col. 2, lines 47-63 and Figs. 3-5; and

a base station for emanating a beacon signal to the intermittent type mobile and communicating with the mobile by radio while the mobile is controlled (to be in the power-on state) see col. 1, lines 41-52, col. 2, lines 47-63 and Figs. 3-5;

the base station varying an emanation interval of the beacon signal to the intermittent type mobile according to a transmission data amount to the mobile, the mobile varying a receiving timing shifting its power on state according to the emanation interval. See abstract and Figs. 3-5.

As to **claims 6 and 7**, Tayloe et al. disclose everything claimed as applied above to claim 5, in addition Tayloe et al. further discloses wherein the base narrows the interval when the transmission data amount increases (claim 6) and expands the interval when the transmission data amount reduces (claim 7). See abstract.

As to **claims 11-13 and 17**, Tayloe et al. disclose everything claimed as applied above to claims 5-7, since the scope of each claim, merely corresponds to a part of the system of claim 5.

Claim Rejections - 35 USC § 103

6. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

7. **Claims 1 and 8** are rejected under 35 U.S.C. 103(a) as being unpatentable over Leslie et al. (U.S. Patent 4,449,248) or the prior art admitted by the Applicant in view of Messenger (U.S. Patent 5,276,680).

As to **claim 1**, each of Leslie et al. and the Applicant disclose a (prior art) radio communication system comprising: an intermittent power-on type mobile for shifting to a power-on state synchronously with a received timing of a beacon signal, with a fixed period of time after receiving the beacon signal being a data receive-ready period; and a base station for emanating a beacon signal to the intermittent type mobile and communicating with the mobile by radio while the mobile is controlled (to be in the power-on state). See Leslie et al. col. 1, lines 48-66 and

Applicant's admission from page 1, line 25 through page 3, line 8 and Figs. 21-22 of the specification. Each disclosure fails to explicitly recite wherein the base station preferentially transmits data to the intermittent type mobile over a normal mobile in a normally powered on state, when the data to be transmitted to the intermittent type mobile exists during the data receive-ready period.

In an analogous art, Messenger discloses wherein a base station separates data to be transmitted to a normal type station in a normally powered on state from data to be transmitted to an intermittent type mobile station, wherein the base station preferentially transmits data to the intermittent type mobile over a normal mobile in a normally powered on state, when the data to be transmitted to the intermittent type mobile exists during the data receive-ready period, for the (implied) purpose of optimizing system latency by assuring that the base station transmits data to the intermittent type mobiles as soon and efficiently as possible. See col. 6, lines 10-39 and col. 8, lines 12-21. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify either prior art system by specifically operating the base station to preferentially transmit data to the intermittent type mobile over a normal mobile in a normally powered on state, when the data to be transmitted to the intermittent type mobile exists during the data receive-ready period of the intermittent type mobile, as taught by Messenger, for the purpose of optimizing system latency by assuring that the base station transmits data to the intermittent type mobiles as soon and efficiently as possible.

Claim 8, reads on the base station as applied above to claim 1.

8. **Claims 2-3, 9-10 and 14-16** are rejected under 35 U.S.C. 103(a) as being unpatentable over Dupont (U.S. Patent 5,535,207).

As to **claim 2**, Dupont discloses a radio communication system comprising:

an intermittent power-on type mobile for shifting to a power-on state synchronously with a received timing of a beacon signal, with a fixed period of time after receiving the beacon signal being a data receive-ready period (see col. 1, lines 42-56 and/or any pattern of Fig.2); and

a base station for emanating a beacon signal to the intermittent type mobile and communicating with the mobile by radio while the mobile is controlled (to be in the power-on state), (see col. 4, lines 24-28 and col. 2, lines 35-42);

the base station reporting information to the intermittent type mobile, (see col. 7, lines 31-35);

the intermittent mobile adjusting its power-on state to receive all pieces of data transmitted continuously from the base station, see col. 2, lines 34-42.

Dupont fails to explicitly recite wherein the information reported from the base station to the intermittent type mobile includes “time extension information” that data must be received beyond the data receive-ready period and that the intermittent mobile sustains its power-on state until all pieces of the data transmitted continuously from the base are received, when the mobile has received time extension information from the base. However, given that when information to be transmitted to the mobile would exceed the amount of time available in the fixed (current) receive-ready period (such as line 5 of Fig.2), the transmission could clearly not be completed to

Art Unit: 2745

the mobile in the receive-ready period, thereby increasing message latency, and that Dupont teaches the concept of reducing latency (when desired) of delivering messages, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Dupont by specifically programming the base station to report, as time extension information, that data must be received beyond the data receive-ready period, when data is transmitted continuously beyond the period wherein the mobile sustains its power-on state until all pieces of the continuously transmitted data are received, in response thereto, to thereby provide the user of the mobile the information necessary to reduce latency of transmitted messages regardless of their length, as suggested by Dupont in Fig.2.

As to **claim 3**, Dupont discloses a radio communication system comprising:

an intermittent power-on type mobile for shifting to a power-on state synchronously with a received timing of a beacon signal, with a fixed period of time after receiving the beacon signal being a data receive-ready period (see col. 1, lines 42-56 and/or any pattern of Fig.2); and

a base station for emanating a beacon signal to the intermittent type mobile and communicating with the mobile by radio while the mobile is controlled (to be in the power-on state), (see col. 4, lines 24-28 and col. 2, lines 35-42);

the base station previously reporting transmission information , (see col. 7, lines 31-35) and transmitting the data within a predetermined period of time after completion of the (initial) data receive-ready period when data included in the transmission can not be transmitted during

the receive-ready period (reads on extending the active time slot length, as shown in Fig. 2, key patterns 9-11);

the intermittent mobile sustaining its power-on state when (received) data included the transmission data previously reported, and then extending the data receive-ready period by the predetermined period of time (Fig. 2, last row, for example). See also, col. 5, lines 10-19, col. 6, lines 7-27 and col. 8, lines 1-38.

Dupont fails to explicitly recite wherein the information transmitted from the base station to the intermittent type mobile includes information regarding data to be transmitted to the intermittent power-on type mobile during the data receive-ready period. However, given that when information to be transmitted to the mobile exceeded the amount of time available in the fixed (current) receive-ready period (such as line 5 of Fig. 2), the transmission could clearly not be completed to the mobile in the receive-ready period, thereby increasing message latency, and that Dupont teaches the concept of reducing latency (when desired) of delivering messages, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Dupont by specifically programming the base station to transmit information including information regarding data to be transmitted to the intermittent power-on type mobile during the data receive-ready period to thereby provide the user of the mobile the information necessary to reduce latency of transmitted messages regardless of their length, as suggested by Dupont in Fig. 2.

As to **claim 4**, Dupont, as modified above with respect to claim 3, discloses everything claimed and additionally discloses wherein the mobile shifts to its power-off state when all data has been received. See Fig.2.

As to **claims 9-10 and 14-16**, the modified system of Dupont discloses everything claimed as applied above to claims 2-3, since the scope of each claim, merely corresponds to a part of the system of claims 2-3

9. **Claims 2-3, 9-10 and 14-16** are rejected under 35 U.S.C. 103(a) as being unpatentable over Gaskill (U.S. Patent 5,629,940).

As to **claim 2**, Gaskill discloses a radio communication system comprising:
an intermittent power-on type mobile for shifting to a power-on state synchronously with a received timing of a beacon signal, with a fixed period of time after receiving the beacon signal being a data receive-ready period (time slot A, Fig.3), see col. 1, lines 46-61, col. 2, lines 12-29; and

a base station for emanating a beacon signal to the intermittent type mobile and communicating with the mobile by radio while the mobile is controlled (to be in the power-on state), see col. 5, lines 61-67;

the base station reporting as time extension information that data must be received beyond the data receive-ready period, to the intermittent type mobile, when data is transmitted continuously beyond the data receive-ready period, see col. 4, lines 5-13 and col. 6, lines 9-10;

Art Unit: 2745

the intermittent mobile sustaining its power-on state (in all designated periods) until all pieces of the data transmitted from the base are received, when the mobile has received time extension information from the base, see col. 4, lines 13-51. Gaskill fails to explicitly recite an embodiment wherein the transmitted (message) data is continuously directed to a particular mobile, wherein the mobile (continuously) sustains its power-on state. It is considered that although the specific examples shown by Gaskill (Figs. 4 and 8) teach the ability to transmit and receive long message data in noncontiguous time frames, thus allowing for more freedom and flexibility, at the expense of system complexity, in transmitting long messages, it would have been obvious to one of ordinary skill in the art at the time the invention was made to send the data continuously wherein the mobile would (continuously) sustain its power-on state, for the purpose of reducing the overhead associated with the added complexity of the system.

As to **claim 3**, Gaskill discloses a radio communication system comprising:

an intermittent power-on type mobile for shifting to a power-on state synchronously with a received timing of a beacon signal, with a fixed period of time after receiving the beacon signal being a data receive-ready period (time slot A, Fig.3), see col. 1, lines 46-61, col. 2, lines 12-29; and

a base station for emanating a beacon signal to the intermittent type mobile and communicating with the mobile by radio while the mobile is controlled (to be in the power-on state), see col. 5, lines 61-67;

Art Unit: 2745

the base station previously reporting transmission information, regarding data to be transmitted to the mobile during the ready period and transmitting the data within a predetermined period of time after completion of the data receive-ready period when data included in the transmission can not be transmitted during the receive-ready period, see col. 4, lines 5-13 and col. 6, lines 9-10;

the intermittent mobile sustaining its power-on state (in all designated periods), when (received) data included the transmission data previously reported, and then extending the data receive-ready period by the predetermined period of time. Gaskill fails to explicitly recite an embodiment wherein the transmitted (message) data is continuously directed to a particular mobile, wherein the mobile (continuously) sustains its power-on state. It is considered that although the specific examples shown by Gaskill (Figs. 4 and 8) teach the ability to transmit and receive long message data in noncontiguous time frames, thus allowing for more freedom and flexibility, at the expense of system complexity, in transmitting long messages, it would have been obvious to one of ordinary skill in the art at the time the invention was made to send the data continuously wherein the mobile would (continuously) sustain its power-on state, for the purpose of reducing the overhead associated with the added complexity of the system.

As to **claim 4**, Gaskill, as modified above with respect to claim 3, discloses everything claimed and additionally discloses wherein the mobile shifts to its power-off state when all data has been received. See col. 4, lines 39-43 and Fig.3.

As to **claims 9-10 and 14-16**, the modified system of Gaskill discloses everything claimed as applied above to claims 2-3, since the scope of each claim, merely corresponds to a part of the system of claims 2-3

Response to Arguments

10. Applicant's arguments filed 3/4/98 have been fully considered but they are not persuasive.

Regarding Applicants allegation that varying the emanation interval based on "the transmission data amount" is patentably distinct over Tayloe et al. who teach (as admitted by the Applicant) varying the emanation interval based on "paging load", the examiner maintains that there is substantially no difference between the two teachings. That is, the "paging load", as taught by Tayloe et al., represents the system demand, which in this case clearly means the amount of data to be transmitted.

Regarding Applicants allegation that Leslie et al. teaches a programmable timing mechanism, the examiner sees no relevance to the argument, nor to how it would effect the operation of the prior art cited by Leslie et al. and applied to the claims. Further, considering the argument that Leslie et al. teaches how long a receiver will sleep, as opposed to activation, the examiner considers that the two are inversely related, and one therefore dictates the other.

Regarding Applicants allegation "that the admitted prior art fails to disclose that the interval between beacon signals indicating data reception can be varied, as recited in claim 5", the examiner notes that the admitted prior art is being applied against claims 1 and 8, not claim 5.

Art Unit: 2745

Regarding Applicants allegation that Messenger fails to show “a technique in which it is not necessary to shorten an interval of occurrence of a beacon signal”, it is noted that the features upon which applicant relies (i.e., “a technique in which it is not necessary to shorten an interval of occurrence of a beacon signal) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Regarding Applicants response to the rejections based on Dupont and Gaskill, in the absence of any facts showing unexpected results or operation obtained by the instant invention from the minor differences, as indicated above, the examiner maintains that the modifications, as applied above would have been obvious to one of ordinary skill in the art for the reasons applied above.

Conclusion

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 2745

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lester G. Kincaid whose telephone number is (703) 306-3016. The examiner can normally be reached on Monday through Thursday (first week of bi-week) and Monday through Friday (second week of the bi-week) from 7:00 a.m. to 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Reinhard Eisenzopf, can be reached at (703) 305-4711.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Art Unit: 2745

Any response to this final action should be mailed to:

Box AF

Commissioner of Patents and Trademarks
Washington, D.C. 20231

or faxed to:

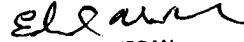
(703) 308-9051, (for formal communications; please mark "EXPEDITED
PROCEDURE")

Or:

(703) 305-9508 (for informal or draft communications, please label
"PROPOSED" or "DRAFT" and mark "PLEASE DELIVER TO
EXAMINER")

Hand-delivered responses should be brought to Crystal Park II, 2021 Crystal
Drive, Arlington, VA., Sixth Floor (Receptionist).


LGK/lhk
April 16, 1998


EDWARD F. URBAN
PRIMARY EXAMINER